

(19) World Intellectual Property
Organization
International Bureau



(43) International Publication Date
17 February 2005 (17.02.2005)

PCT

(10) International Publication Number
WO 2005/015312 A2

(51) International Patent Classification⁷: **G03F 7/16, 7/26**

[US/US]; 1109 McKay Drive, M/S-SJ41, San Jose, CA 95131-1706 (US).

(21) International Application Number:
PCT/IB2004/002546

(74) Common Representative: **KONINKLIJKE PHILIPS ELECTRONICS N.V.**; c/o Shannon Lester, 1109 McKay Drive, M/S-SJ41, San Jose, CA 95131-1706 (US).

(22) International Filing Date: 7 August 2004 (07.08.2004)

(25) Filing Language: English

(81) Designated States (*unless otherwise indicated, for every kind of national protection available*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(26) Publication Language: English

(30) Priority Data:
60/493,698 8 August 2003 (08.08.2003) US
60/512,717 20 October 2003 (20.10.2003) US

(71) Applicant (*for all designated States except US*): **KONINKLIJKE PHILIPS ELECTRONICS N.V.** [NL/NL]; Groenewoudseweg 1, NL-5621 BA Eindhoven (NL).

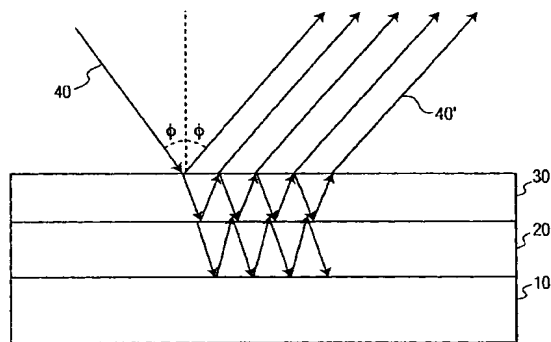
(72) Inventor; and

(84) Designated States (*unless otherwise indicated, for every kind of regional protection available*): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM,

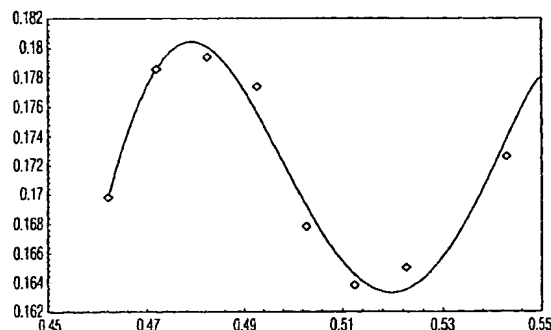
(75) Inventor/Applicant (*for US only*): **ZIGER, David**

[Continued on next page]

(54) Title: METHOD FOR DETERMINING OPTIMAL RESIST THICKNESS



A



B

(57) Abstract: In an example embodiment, there is a method (600) for determining an approximately optimal resist thickness comprising providing a first substrate coated with a resist film having a first thickness using a first coat program (605, 610). The first thickness of resist is measured (615, 620). A second substrate is provided (625) and coated with a resist film using the first coat program. The resist film on the second substrate is exposed to radiation. The reflectance spectrum near the actinic wavelength of the resist film is measured (630). As a function of the periodicity of the reflectance spectrum, an effective refractive index is determined. Based on the effective refractive index, a periodicity of a swing curve of the resist film coated on the second substrate is determined (635). The maxima and minima are determined as a function of the periodicity.

WO 2005/015312 A2

BEST AVAILABLE COPY



ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Declaration under Rule 4.17:

- *as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii)) for the following designations AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM,*

ZW, ARIPO patent (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)

Published:

- *without international search report and to be republished upon receipt of that report*

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

METHOD FOR DETERMINING OPTIMAL RESIST THICKNESS

This application claims priority from provisional U.S. Application titled, "System and Method to Determine Optimal Resist Thickness and Compare Swing Curve Amplitudes" (Application Serial No. 60/493,698) filed on August 8, 2003. Furthermore the present application is related to provisional U.S. Application titled, "Method for comparing
5 Swing Curve Amplitudes (Attorney Docket No. US 030357P), filed concurrently. Both applications are incorporated by reference in their entirety.

The invention relates generally to semiconductor fabrication and more particularly to a method for determining an approximately optimal resist thickness of photosensitive materials.

10 There is a constant drive within the semiconductor industry to increase the operating speed of integrated circuit devices such as microprocessors, memory devices, etc. The demand for increased speed is resulting in a continuing reduction in the size of, for example, channel lengths, junction depths, and gate insulation thicknesses of semiconductor devices. This high degree of integration of semiconductor devices is only achieved by
15 using a simultaneously highly developed fabrication technology.

Most semiconductor devices are fabricated using photolithography. In photolithography, a thin photo resist film is coated onto the surface of a semiconductor wafer. Using a patterned mask and ultraviolet light, the photo resist film is exposed such that the pattern is transferred from the mask to the photo resist film as a latent pattern. After
20 the exposure, the photo resist film is developed to remove the exposed portion of the film. The magnitude of the exposure dose needed to complete the photochemical transformation depends on the thickness of the photo resist film and on the pattern to be transferred. Since the thickness of the photo resist film is of a same order of magnitude as the actinic wavelength, the amount of light coupled into the photo resist film is strongly dependent on
25 the thickness. The actinic wavelength of the UV radiation is that wavelength that produces chemical changes in the photo resist film, resulting in the resist film being "exposed." The effect of the photo resist film thickness on line width is known as "swing curve" effect. In general, the swing curve is a sinusoidal function. Line width variation is resist thickness deviation due to thin film interference. The basic principle, which is known in the
30 literature, is shown in FIG. 1A. The incident radiation 40 (at an angle Φ) is reflected off the resist surface 30 and underlying thin film interfaces 20 present on the substrate 10. To minimize the effects of variations in the thickness of the photo resist film on the line width

for modern highly integrated semiconductor devices, it is desirable to operate the photolithography processes at maxima or minima of the swing curve. Unless reflections are minimized, the dose coupled into the photo resist has a sinusoidal dependence on the resist thickness, commonly referred to as a swing curve. For example, Fig. 1B shows an example
5 of a swing curve published by Brunner¹ for a resist exposed with 248nm radiation and annular illumination.

There are several methods for determining the thickness of a photo resist film at maxima of the swing curve. In a first method wafers having photo resist films of various thicknesses coated thereupon are prepared, the thickness of each of the photo resist films is
10 measured, and then the wafers are exposed using a patterned mask and developed. After development, a particular feature is measured on all wafers and its dimension is tabulated or plotted as a function of the resist thickness, generally resulting in a sinusoidal curve. In a second method wafers having photo resist film of varying thicknesses coated thereupon are exposed using increasing exposure doses. The dose for each wafer at which the resist clears
15 or has the same optically measured thickness is then recorded. Alternatively, a single wafer is coated with a resist having a varying thickness across the wafer. The resist thickness in various regions is measured, and then the wafer is exposed, developed, and the line width in the regions is measured. The line width or dose-to-clear measurements are compared to the resist thicknesses before exposure. In a third method, the swing curve is modeled based on
20 given or measured physical parameters of the materials and radiation used in the process. For example, "PROLITH" (KLA/TENCOR) enables modeling of swing curves.

There are significant challenges to these approaches. The first and second methods are very labor intensive and the measurement results – dose to clear or line width versus resist thickness – are typically very noisy due to process variations. The single wafer
25 approach requires fabrication of a topography wafer, which is likely not representative of later processing methods used in actual integrated circuit devices. The third method of modeling is more convenient to perform than measuring of the swing curve. However, it requires detailed knowledge of many optical and physical parameters, such as the refractive index, which may not be readily available for numerous applications.

30 There is a need to provide a method for determining an approximately optimal resist thickness having substantially increased accuracy while reducing the cost and effort in obtaining such data. The present invention provides a method for measuring the optimal

thickness of photo resist required to reduce critical dimension (CD) variation using UV reflectance spectroscopy.

In an example embodiment according to the present invention, there is a method for determining an approximately optimal resist thickness comprising the steps of, providing a first substrate coated with a resist film having a first thickness using a first coat program. The first thickness is measured. A second substrate is provided and is coated with a resist film using the first coat program. The resist film on the second substrate is exposed to radiation; a reflectance spectrum near the actinic wavelength of the resist film is measured. The effective refractive index is a function of the periodicity of the reflectance spectrum. The periodicity of a swing curve of the resist film coated on the second substrate is determined based on the effective refractive index. As a function of periodicity, the maxima and minima are determined.

In another example embodiment according to the present invention, there is a method for determining an approximately optimal resist thickness comprising the steps of, providing a first substrate coated with a resist film having a first thickness using a first coat program; the first thickness is near a lower limit of a predetermined range for the optimal thickness. The first thickness is measured. Using the first coat program, a second substrate coated with a resist film is provided. The resist film on the second substrate is exposed to UV radiation; a UV reflectance spectrum near the actinic wavelength of the resist film is measured. As a function of the periodicity of the reflectance spectrum, the effective refractive index is determined. Based upon the effective refractive index, the periodicity of a swing curve of the resist film on the second coated substrate is determined. The maxima and minima are determined as a function of the periodicity.

In yet another example embodiment according to the present invention, there is a method for determining an approximately optimal resist thickness. The method comprises providing two wafers comprising a simple first substrate and providing two wafers comprising a second substrate. The two wafers comprising the simple first substrate are coated with resist films having a first and a second thickness near an upper and a lower limit of the predetermined range for the optimal resist thickness, respectively, using a first and a second coat program. The first and the second thickness are measured. Using the first and second coat program, two wafers comprising the second substrate are coated with resist films. The resist film on the two wafers comprising the second substrate is exposed to UV radiation; a first and a second UV reflectance spectrum near the actinic wavelength of the

resist films are measured. The sinusoidal components of the first and the second UV reflectance spectrum are fitted. Base on the fitted sinusoidal components of the first and the second UV reflectance spectrum, a first and a second effective refractive index at the actinic wavelength is determined. Using the first and the second effective refractive index, respectively, minima and maxima of a first and a second swing curve are determined. Corrected minima and maxima are determined by averaging the minima and maxima of the first and the second swing curve.

The above summaries of the present invention are not intended to represent each disclosed embodiment, or every aspect, of the present invention. Other aspects and example embodiments are provided in the figures and the detailed description that follow.

The invention may be more completely understood in consideration of the following detailed description of various embodiments of the invention in connection with the accompanying drawings, in which:

FIG. 1A shows the thin film effect on incident radiation striking a substrate surface;

FIG. 1B shows an example of sinusoidal dependence on resist thickness for high numerical aperture (NA) annular illumination exposed at 248nm;

FIG. 2 is a flowchart illustrating a method for calculating the average predicted maxima and minima according to an embodiment of the present invention;

FIG. 3 illustrates UV reflectance spectra reflected from substrates coated with resist films of different thicknesses;

FIG. 4 depicts UV reflectance spectra in the vicinity of the exposing wavelength;

FIG. 5 illustrates a swing curve determined according to an embodiment of the present invention for an example measured swing curve of an SPR600 apparatus;

FIG. 6 is a flowchart illustrating a method for predicting the periodicity of swing curves used in determining an optimal resist thickness according to another embodiment of the present invention;

FIG. 7 depicts reflectivity spectra of 0.83 μ m OIR32HD with 0.065 μ m Aquatar coated on silicon and gate stack substrates;

FIG. 8 illustrates the analysis of reflectivity spectra of FIG. 7;

FIG. 9 shows the regression of δ_{365} from reflectivity spectra of individual wafers;

FIG. 10A shows the predicted and measured swing curves for OIR32HD/Aquatar on silicon;

FIG. 10B shows the predicted and measured swing curves for OIR32HD/Aquatar on a gate stack;

FIG. 10C shows the predicted and measured swing curves for SPR660 on PBL stack;

5 FIG. 10D shows the predicted and measured swing curves for SPR660/Aquatar on PBL stack;

FIG. 11 depicts a modeled swing curve dependence of nominal 0.35 μ m lines and spaces as a function of numerical aperture (NA); and

10 FIG. 12 depicts a swing curve peak shift as a function of NA for nominal 0.35 μ m nominal lines and spaces.

The present invention has been found to be useful in determining a resist thickness suitable for a given photo lithographic process. The UV reflectance spectra of a resist-coated wafer are measured. The reflectance spectra may be used to extract meaningful parameters from which the periodicity of the swing curve may be determined.

15 In an example embodiment according to the present invention, a method for determining an optimal resist thickness is based on measuring ultraviolet (UV) reflectance spectra of resist coated wafers near an actinic wavelength of the resist. The UV reflectance spectra allow extraction of parameters for determining the swing curve periodicity. Using the UV reflectance spectra for determining an optimal resist thickness substantially reduces
20 the number of resist coated wafers employed for determining the parameters.

The electric field $E_{(x,y,z)}$ in a material – resist film - exposed to radiation is given as:

$$E_{(x,y,z)} = E_{(x,y)} \frac{\tau_{12} (e^{-i2m_2 z / \lambda} + \rho_{12} \tau_D^2 e^{i2m_2 z / \lambda})}{1 + \rho_{12} \rho_{23} \tau_D^2} \quad (1)$$

wherein, $E_{(x,y)}$ is an incident plane wave at the surface of the material,

$\rho_{12} = (n_1 - n_2) / (n_1 + n_2)$ is the reflection coefficient, $\tau_{12} = 2n_1 / (n_1 + n_2)$ is the transmission
25 coefficient, τ_D is the internal transmittance of the material, $k_2 = 2m_2 / \lambda$ is the propagation constant, $n_j = n_j - ik_j$ is the complex index of refraction, and $n_{(\lambda)} = C_1 + C_2 / \lambda^2 + C_3 / \lambda^4$ is the Cauchy expansion for the refractive index.

Exposure intensity is proportional to the square of the electric field. Furthermore, the average intensity is proportional to the square of the electric field integrated over the
30 resist film thickness divided by the thickness. The measured reflectance spectra have a

same functional dependence on periodicity. Thus, the reflectance intensity is given as:

$$I = gf_{(\cos(4\pi n_2 t / \lambda))} \quad (2)$$

wherein g is a function of optical constants of the substrate and the resist film and t is the resist film thickness. The periodicity of the reflectance spectra is given by $\cos(4\pi n_2 t / \lambda)$.

5 This is well known in the art and is used to calculate thin film thicknesses.

Below is a generalized sinusoidal behavior of swing curves' expression for standing wave intensity²:

$$I \propto \cos(\phi + \delta) \quad (3)$$

where:
$$\phi \approx \frac{4\pi n D}{\lambda} \left[1 - \frac{\sin^2 \theta}{2n^2} \right]$$

- 10 I=average intensity averaged over the resist thickness
 $n(\lambda)$ =resist refractive index
D=resist thickness
 λ =wavelength
 ϕ =incident angle
15 δ =phase shift from the reflective interfaces

In the above equation, the term $1 - \frac{\sin^2 \theta}{2n^2}$ corrects for the effect of incident

radiation at non-normal angles.

EQ. 3 predicts a sinusoidal behavior of swing curves owing to resist thickness, D,
20 and wave number, $1/\lambda$. As mentioned earlier, the swing curve with a given resist thickness can cause line width variation unless reflectivity at the actinic (or exposure) wavelength is minimized or resist thicknesses are relatively uniform. The sinusoidal dependence of ultraviolet (UV) reflectivity due to wave number $1/\lambda$, is the basis for optical calculations of resist thickness. Refer to FIG. 7. The solid line 710 in FIG. 7 shows a UV reflectance
25 spectrum of 650A° Aquatar II coated on bare silicon, with 0.83 μ m OIR32HD resist. The dashed line 720 shows a UV reflectance spectrum of 650A° Aquatar II coated on 0.83 μ m OIR32HD resist on a gate stack. The substrate is bare silicon. Note the pronounced waves or swing with wavelength.

According to EQ. 3, we expect a sinusoidal behavior with wave number on more
30 complicated substrates is expected but that δ should vary depending on the reflectance at the

underlying interfaces. EQ. 3 may be used as a guide and allow δ to be a quadratic function of wave number:

$$\delta = \delta_0 + \delta_1/\lambda + \delta_2/\lambda^2 \quad (4)$$

Consequently, if the reflectivity spectra of a coated wafer may be predicted were measured, then δ_0 , δ_1 and δ_2 can, in principle, be regressed from its periodic nature provided that the resist thickness has been measured and refractive index of the resist is known as a function of wavelength. For the latter, let us assume that the Cauchy equation provides an adequate representation of the wavelength dependence of the refractive index:

$$n(\lambda) = n_0 + \frac{n_2}{\lambda^2} + \frac{n_4}{\lambda^4} \quad (5)$$

One may predict the periodicity of the swing wave curve from the periodicity of a single spectrum by evaluating both ϕ and δ at the exposure wavelength. In other words, the goal is to utilize reflectance spectra from a single wafer to predict the local extrema of line width versus resist thickness. This process may be applied to structures various film stacks and topographies of interest.

Referring back to FIG. 2, in example embodiment according to the present invention, a method 100 may be used to determine a resist film thickness. A resist dispenser is programmed to deposit a coat of resist 105 at a first predetermined thickness. The thickness of the resist film is chosen to be near the lower limit of a predetermined range for an optimal resist thickness. In a series of steps 10, resist is deposited at the first predetermined thickness (using the same coating program) on a first wafer 110. The resist thickness on the first wafer is measured 115. The resist dispenser is reprogrammed to deposit resist 120 at the first measured thickness. On a second wafer, the dispenser coats the wafer at the first measured thickness 125. Having obtained a coated wafer, the UV reflectance spectra are measured in a region near the actinic wavelength, for a given thickness (previously measured) 140. For the measured thickness, the effective refractive index 145 is extracted for a measured thickness. This effective refractive index is determined by fitting the periodicity of the reflectance spectrum to the cosine argument, $\cos(4\pi n_2 t/\lambda)$. Using the effective refractive index near the actinic wavelength, periodicity of a swing curve of the resist film thickness is determined by keeping the wavelength constant and varying the resist film thickness. The periodicity of the effective refractive index 150 for a measured thickness is predicted.

Additional measurements are often desirable. The user requiring additional measurements 155, will program the resist dispenser to deposit a coat of resist of another (second or additional) predetermined thickness 160, the resist film having a thickness near an upper limit of the predetermined range. As in the group of steps 10, group of steps 20 include depositing a resist at another predetermined thickness 165 on another first wafer. The resist thickness is measured on another first wafer 170. The resist dispenser is programmed to deposit resist 175 at the second or additional measured thickness. Steps 140, 145, and 150 are repeated for the second or additional measured thicknesses. If no additional measurements are desired 155, the average predicted 185 maxima and minima are calculated.

The corrected maxima and minima are determined as average of the maxima and minima obtained from the periodicities of the swing curves for the two or more resist film thicknesses. The corrected maxima and minima are weighted by a distance between the corrected values and the calculated maxima and minima calculated

In the following example embodiment, the optimal resist thickness is determined for SPR660 between $0.8 \mu\text{m}$ and $0.9 \mu\text{m}$ coated on a substrate comprising a film stack of 1600 Å silicon nitride, 500 Å amorphous silicon, 300 Å pad oxide, and silicon. Two bare silicon wafers are coated with resist film having a thickness near an upper and a lower limit of the predetermined range - between $0.8 \mu\text{m}$ and $0.9 \mu\text{m}$ - for the optimal resist thickness, respectively. One resist film has a measured thickness of $0.771 \mu\text{m}$ and the other has a measured thickness of $0.880 \mu\text{m}$. Using the same coat program, two substrates comprising the film stack above are coated with resist films having thicknesses of $0.771 \mu\text{m}$ and $0.880 \mu\text{m}$, respectively.

Referring to FIG. 3, the UV reflectance spectra shown are then recorded for the two substrates. The plot 200 is Reflectance v. Wavelength depicts two curves. The first curve 210 is the resist film measured at a thickness $0.896 \mu\text{m}$. The second curve 220 is a resist film measured at a thickness $0.771 \mu\text{m}$.

FIG. 4 shows the measured UV reflectance spectrum 300 in the vicinity of the actinic wavelength for the substrate coated with the $0.771 \mu\text{m}$ resist film. Curve 310 shows actual measurements at the actinic wavelength. Curve 320 shows a normalized plot. The best fit between the measured curve 310 and normalized curve 320 is shown in curve 330.

There are a number of methods available for fitting the sinusoidal components of the reflectance spectra. In the method used in this example, the minima and maxima from each spectrum were extracted and the data were locally scaled to ± 1 based on neighboring peaks. A best fit may be found by iterating the Cauchy refractive index constants. Refer back to curve 330 of FIG. 4. This provides the effective refractive index at the actinic wavelength needed for evaluating the periodicity of the swing curve. Table 1 shows the effective refractive indices determined from the substrates coated with the 0.771 μm and 0.880 μm resist films, respectively.

Table 1. Effective Refractive Indices		
Measured Resist Film Thickness	0.771 μm	0.880 μm
n_{365}^{eff}	1.874	1.853
Predicted Swing Maxima Thicknesses	0.780	0.788
	0.878	0.886
Predicted Swing Minima Thicknesses	0.828	0.838

After the effective refractive indices at the 365 nm exposure wavelength are determined from the reflectance spectra, the periodicity of the swing curve is calculated using the equation:

$$CD = A + B \cos(4\pi n_{365}^{\text{eff}} t / \lambda). \quad (6)$$

Thus, the peaks and valleys of the cosine argument are predicted to be the minima and maxima of the swing curve.

FIG. 5 shows a comparison of the predicted versus the actual measured swing curves 400. The UV spectrum reflected from the 0.771 μm thick resist film 420 predicts more accurately the maximum at 0.783 μm while the UV spectrum reflected from the 0.880 μm thick resist film 430 predicts the second maximum at 0.880 μm more accurately.

Generally, the closer the resist film thickness is to the predicted minimum or maximum the better is the prediction. Therefore, the effective refractive indices are determined at the endpoints of the predetermined range. The corrected minima and maxima are then calculated as the average between the two predictions and weighted inversely to their

relative distances from the predictions. Table 2 summarizes the predictions, weighting factors and measured minima and maxima of the desired resist thickness range.

Table 2. Desired Resist Thickness Parameters Summary		
Measured Resist Film Thickness	0.771 μm	0.880 μm
First Maximum		
Predicted	0.780	0.786
Distance Coat to Prediction	0.010	0.094
Weight Factor	0.094/0.104	0.01/0.104
Weight Prediction	0.781	0.781
Measured	0.783	0.783
Second Maximum		
Predicted	0.878	0.886
Distance Coat to Prediction	0.107	0.006
Weight Factor	0.006/0.113	0.107/0.113
Weight Prediction	0.886	0.886
Measured	0.880	0.880
First Minimum		
Predicted	0.828	0.838
Distance Coat to Prediction	0.057	0.042
Weight Factor	0.042/0.099	0.057/0.099
Weight Prediction	0.828	0.828
Measured	0.825	0.825

In another example embodiment, more than two resist film thicknesses may
 5 analyzed for predicting the maxima and minima of the swing curve resulting in more
 accurate predictions. For example, one may analyze resist film thicknesses at the endpoints
 of the predetermined range and one thickness close to the optimal thickness. The analysis

of more than two resist film thicknesses is easily implemented in the method described above with some minor modifications to the weighting procedure.

In another example embodiment according to the present invention, one may predict the periodicity of the swing curve (on a wafer substrate) from the periodicity of a single spectrum by evaluating both ϕ and δ at the exposure wavelength. As mentioned earlier, if the reflectance of a coated wafer is known then δ_0 , δ_1 , and δ_2 can be regressed from its periodic nature provided that the resist thickness has been measured and the refractive index (η) is known as a function of wavelength (λ).

Refer to FIG. 6. In a process 600, data is obtained to predict the periodicity of the swing curve for a given resist and substrate combination. A resist dispenser 605 is programmed to deposit a coat of photo resist at a predetermined thickness. The predetermined thickness depends upon specific process parameters such as, for example, whether the substrate is undergoing front end or back end processing, such as poly-silicon expose and etch or metallization expose and etch. Having set the dispenser, a resist of predetermined thickness is deposited 610 onto a first wafer. The thickness of the resist deposited on the first wafer is measured 615. The resist dispenser is reprogrammed to deposit resist at the *measured* thickness 620. On a second substrate a coat of resist is deposited on the second substrate 625 at the measured thickness. From the coated second wafer, the user derives the reflectance spectra for the measured resist thickness 630. From the reflectance spectra, the swing curve periodicity is predicted from the periodicity of the reflectance spectra 635 on the coated second wafer.

In an example embodiment, in an experimental analysis of the process outlined in FIG. 6, UV reflectance spectra were measured on a UV1050 (KLA-Tencor, Santa Clara, CA). This tool was designed to measure film thicknesses using a mercury (Hg) lamp source having a relatively low intensity from 210 to 300nm. To avoid noise related errors in this regime, this study was limited to 365nm (I-line). Two "I-line" resists, SPR660 (Shipley, Marlborough MA) and OIR32HD (Arch Chemicals, Providence, RI) were used. Note that in principle the technique according to the present invention may be used to characterize the swing curve at any wavelength provided that the reflectance spectra can be accurately measured.

Table 3 summarizes the combinations of two resists and three substrates used in this study. In Table 3, PBL (Poly Buffer LOCOS--local oxidation of silicon) wafers were unpatterned while the gate stack wafers had diffusion wells defined. The general approach

utilized in this characterization was to coat two sets of wafers with varying spin speeds. One set was bare silicon that was used to measure resist thickness while the second set was fully processed wafers (PBL or gate stack). After coating, reflectance spectra were measured at the center of each wafer. Note that the un-patterned wafers did not require pattern recognition when measuring reflectivity. However, the reflectance of the gate stack wafers were measured with pattern recognition in a 0.3mm by 0.3mm poly over active region over which a grating structure would be imaged. This region was much larger than the spot size of the reflectometer. Wafers were exposed on a Canon I4 stepper (Canon USA, Santa Clara at 0.63 numerical aperture, 0.65 partial coherence and developed on a DNS80B (DNS Electronics, Santa Clara CA) developer. Line width measurements were taken on a KLA 8100 (KLA, Santa Clara, CA).

Table 3. Systems used for Reflectivity and Line width Swing Curve Analysis

Film Stack		Resist	Thickness Range (μm)	TARC	Measurements	
Name	Films				Reflectivity	Linewidth
Bare Silicon	Silicon Substrate	OIR32HD	0.74-0.83	Yes	Center of Wafer	5 sites across wafer
Gate	0.02 μm Tungsten Silicide 0.30 μm Polysilicon 0.05 μm Silicon oxide Silicon Substrate	OIR32HD	0.74-0.83	Yes	Poly on active at center of wafer	5 sites of same region that was measured for reflectivity
PBL	0.16 μm SiN 0.06 μm amorphous Silicon 0.06 μm Silicon oxide Silicon Substrate	SPR660	0.67-0.90	No	Center of Wafer	13 sites across wafer
PBL	0.16 μm SiN 0.06 μm amorphous Silicon 0.06 μm Silicon oxide Silicon Substrate	SPR660	0.67-0.90	Yes	Center of Wafer	13 sites across wafer

Resist thicknesses for all wafers, including those with Aquatar II TARC (top antireflective coating), were measured on bare silicon wafers assuming only resist as a film stack. The optical effect of the TARC on the measured resist thickness was determined by coating a wafer on silicon without the top coat, measuring and comparing to one coated with the same program with TARC. Note that all resist thicknesses reported in this paper have been corrected, when appropriate, for the effect of TARC on the measurement and refer to measurements on bare silicon.

All calculations, including parameter extraction, were done with MICROSOFT EXCEL. A VISUAL BASIC macro was written to facilitate data analysis. However, any other suitable analysis tools may be used, as well. The basic approach was to filter the UV reflectivity spectra to exclude data outside 0.3 to 0.47 μm wavelengths. Cubic splines were used to interpolate and transform the reflectance data from a function of wavelength, λ , to

wave number, λ^{-1} since theoretical swing curves are periodic in the latter (see EQ. 3). Wave numbers at which local minimum and maximum occurred were determined and used to normalize the reflectance data to ± 1 . This normalized reflectance spectra were fit to EQ. 1 by adjusting δ_0 , δ_1 and δ_2 and assuming normal incident radiation ($\theta \approx 0$). FIG. 8 shows the results of the OIR32HD with TARC on gate stack reflectance data displayed in FIG. 7.

Values of δ_0 , δ_1 and δ_2 were extracted for all 56 wafers used in this study that allowed independent measurements of δ_{365} . According to EQ. 3, δ_{365} should depend only on the reflectance of films underlying or overlying (i.e. TARC) the resist and be independent of resist thickness. FIG. 9 shows δ_{365} for all wafers plotted versus resist thickness. Plot 900 depicts four curves (910, 920, 930, 940) as described in Table 4. Each of the 4 film stacks exhibits an intrinsic δ_{365} that shows no evidence of resist thickness dependence. These data are also summarized in Table 4. Variation in δ_{365} for the same film stack could be due to slight wafer-to-wafer differences in thicknesses or optical properties of any of the films.

Table 4. Summary of Regressed d values for the diff			
Resist/Film Stack	Count	Av δ_{365}	$3s\delta_{365}$
OIR32HD/Aquatar on Silicon 910	14	1.76	0.52
OIR32HD/Aquatar on Gate Stack 930	24	-0.15	0.49
SPR660 on PBL 920	10	-2.36	0.51
SPR660/Aquatar on PBL 940	8	0.64	0.40

Once δ_0 , δ_1 and δ_2 were determined, $\cos(\phi + \delta)\lambda = 0.365$ was calculated for each measured spectra assuming normal incidence. FIGS. 10A – 10D shows swing curve predictions from each wafer cross-plotted with measured line width. In FIG. 10A, the measured line width 1005 is plotted with swing curve predictions 1010. Likewise, in FIG. 10B measured line width 1015 is plotted with swing curve predictions 1020. Similarly, in FIG. 10C measured line width 1025 is plotted with swing curve predictions 1030. Also in FIG. 10D, measured line width 1035 is plotted with swing curve predictions 1040. Note that each curve is an independent prediction of the relevant swing curve. Typically, predictions on the same substrate agreed within $\pm 0.0045\mu\text{m}$. Table 5 summarizes prediction of local minimum and maximum compared to estimates based on line width measurements versus resist thickness. In all but one case (SPR660/Aquatar on PBL), the predicted extrema bracket the measured value. However, it should be noted that the SPR660/Aquatar on PBL swing curve was relatively noisy so the agreement between predicted and the actual extrema may be significantly better than shown in FIG. 10D.

According to EQ. 3, swing curves are dependent on the incident angle. Note that the UV1050 measures reflectance at near normal incidence whereas stepper patterns were defined from light striking the wafer from angles ranging from $\pm \sin^{-1} 0.63 = \pm 41^\circ$. Since different lithographic features are imaged from different distributions of incident angles, a correction cannot be easily computed by using EQ. 3. However, modeling can be used to derive this correction since it is purely optical in nature. The approach is simply to use lithographic modeling software such as PROLITH, to predict the swing curve of a generic resist on silicon at low versus the actual NA used. Note that since we are interested in the peak shift caused by NA, the resist and substrate optical properties should have very little effect on these results.

IG. 11 shows the theoretical dependence of a swing curve for $0.35\mu\text{m}$ lines and spaces for numerical apertures (1105) ranging from 0.3 to 0.9. However, the trend is quite apparent in that the swing curve amplitude decreases and peak shift increases relative to low numerical apertures.

FIG. 12 shows peak shift 1205 relative to $\text{NA}=0.3$ as a function of NA. Since the peak shift 1205 is less than 7\AA between $\text{NA}=0.3$ and $\text{NA}=0.4$, we can assume that $\text{NA}=0.3$ approximates what the swing curve would appear at normal incidence. Consequently, a reasonable approach to estimate the swing curve correction due to NA effects is to model results at $\text{NA}=0.3$ and at the NA of the stepper used, then compute the difference and add to that calculated using normal incidence reflectometry.

The methods for determining an optimal resist thickness according to the invention is applicable in the fabrication of state of the art semiconductor devices by substantially increasing accuracy of the determined minima and maxima of the swing curve while simultaneously limiting the number of wafers used to fewer than used with prior art methods. Therefore, the present invention provides a more accurate and less labor-intensive method for determining an optimal resist thickness.

Table 5. Comparison of Predicted Local Minimum and Maximum Resist Thicknesses Using UV Reflectance Data and Actual Swing Curve Line Width Results

Resist/Film Stack	Maximum		Minimum	
	Prediction	Linewidth	Prediction	Linewidth
OIR32HD/Aquatar on Silicon	0.823-0.834	0.826	0.769-0.781	0.774
OIR32HD/Aquatar on Gate Stack	0.751-0.757	0.756	0.805-0.811	0.809
SPR660 on PBL	0.775-0.784	0.783	0.826-0.838	0.829
SPR660/Aquatar on PBL	0.832-0.838	0.838	0.778-0.787	0.796

THIS PAGE BLANK (USE FOR)

While the present invention has been described with reference to several particular example embodiments, those skilled in the art will recognize that many changes may be made thereto without departing from the spirit and scope of the present invention, which is set forth in the following claims.

THIS PAGE BLANK (USPTO)

CLAIMS

What is claimed is:

1. A method (100) for determining an approximately optimal resist thickness, comprising the steps of:
 - a) providing a first substrate (110) coated with a resist film having a first thickness using a first coat program (105);
 - b) measuring the first thickness (115);
 - c) providing a second substrate (120) coated with a resist film using the first coat program;
 - d) exposing the resist film on the second substrate (125) to radiation and measuring a reflectance spectrum near the actinic wavelength of the resist film;
 - e) determining an effective refractive (140, 145) index as a function of the periodicity of the reflectance spectrum;
 - f) determining a periodicity of a swing curve (150) of the resist film coated on the second substrate based on the effective refractive index; and
 - g) determining maxima and minima (185) as a function of the periodicity.
2. The method of claim 1 further comprising the steps of:
 - h) repeating steps a) to g) using a second coat program (20) for providing a resist film having a second thickness; and
 - i) determining average maxima and minima (185) as a function their respective periodicities.
3. The method of claim 2, wherein the first substrate comprises a simple substrate.
4. The method of claim 3, wherein the first substrate comprises silicon.
5. The method of claim 2, wherein the first thickness is chosen to be near a lower limit of a predetermined range for the optimal resist thickness.
6. The method of claim 5, wherein the second thickness is chosen to be near an upper limit of the predetermined range for the optimal resist thickness.
7. The method of claim 6, wherein step e) the periodicity of the reflectance spectrum is fitted to $\cos(4\pi n^{eff} t / \lambda)$ with n^{eff} being the effective refractive index, t being the thickness of the resist film, and λ being the wavelength of the radiation.
8. The method of claim 7 wherein the radiation comprises UV radiation.
9. A method for determining an approximately optimal resist thickness, comprising the steps of:

THIS PAGE BLANK (USPTO)

a) providing a first substrate coated with a resist film having a first thickness using a first coat program, the first thickness being near a lower limit of a predetermined range for the optimal thickness;

b) measuring the first thickness;

c) providing a second substrate coated with a resist film using the first coat program;

d) exposing the resist film on the second substrate to UV radiation and measuring a UV reflectance spectrum near the actinic wavelength of the resist film;

e) determining an effective refractive index as a function of the periodicity of the reflectance spectrum;

f) determining periodicity of a swing curve of the resist film coated on the second substrate based on the effective refractive index; and,

g) determining maxima and minima as a function of the periodicity.

10. The method of claim 9 further comprising the steps of:

g) repeating steps a) to f) using a second coat program for providing a resist film having a second thickness, the second thickness being near an upper limit of the predetermined range for the optimal thickness; and

h) determining average maxima and minima as a function their respective periodicities.

11. The method of claim 10 wherein the first substrate comprises a simple substrate.

12. The method of claim 11 wherein the first substrate comprises silicon.

13. The method of claim 10 wherein step e) the periodicity of the UV reflectance spectrum is fitted to $\cos(4\pi n^{eff} t / \lambda)$ with n^{eff} being the effective refractive index, t being the thickness of the resist film, and λ being the wavelength of the radiation.

14. A method for determining an approximately optimal resist thickness comprising:

providing two wafers comprising a simple first substrate;

providing two wafers comprising a second substrate;

coating the two wafers comprising the first substrate with resist films having a first and a second thickness near an upper and a lower limit of the predetermined range for the optimal resist thickness, respectively, using a first and a second coat program;

measuring the first and the second thickness;

coating the two wafers comprising the second substrate with resist films using the first and the second coat program;

exposing the resist film on the two wafers comprising the second substrate to UV radiation and measuring a first and a second UV reflectance spectrum near the actinic wavelength of the resist films;

fitting sinusoidal components of the first and the second UV reflectance spectrum;

determining a first and a second effective refractive index at the actinic wavelength based on the fitted sinusoidal components of the first and the second UV reflectance spectrum;

determining minima and maxima of a first and a second swing curve using the first and the second effective refractive index, respectively; and

determining corrected minima and maxima by averaging the minima and maxima of the first and the second swing curve.

15. The method of claim 14 wherein the first substrate comprises silicon.

16. The method of claim 14 wherein the periodicity of the UV reflectance spectrum is fitted to $\cos(4\pi n^{\text{eff}} t / \lambda)$ with n^{eff} being the effective refractive index, t being the thickness of the resist film, and λ being the wavelength of the radiation.

17. The method of claim 16 wherein a best fit is found by iterating a Cauchy expansion of the effective refractive index.

18. The method of claim 17 wherein the corrected minima and maxima are weighted inversely to their relative distances from the determined minima and maxima.

19. The method of claim 18 wherein the predetermined range is between $0.8 \mu\text{m}$ and $0.9 \mu\text{m}$.

20. A method (600) for determining an approximately optimal thickness of a resist film on a wafer substrate, comprising the steps of: depositing the resist film (605, 610, 615, 620, 625) at a predetermined thickness on a first wafer substrate; exposing the resist film to radiation and measuring a reflectance (630) spectrum near the actinic wavelength of the resist film, the reflectance spectrum having a periodicity; and predicting the periodicity of a swing curve (635) from the periodicity of the reflectance spectrum.

21. The method of claim 20, wherein, the periodicity of the swing curve is a function of incident angle of radiation, phase shift from reflective interfaces within the wafer substrate, and exposure wavelength, and thickness of the resist film.

22. The method of claim 21, wherein the phase shift from reflective interfaces within the wafer substrate is regressed from a quadratic function of wave number wherein,
$$\delta = \delta_0 + \delta_1/\lambda + \delta_2/\lambda^2$$

23. The method of claim 22, wherein the wavelength is dependent upon refractive index, wherein an effective refractive index is defined by a regression of a Cauchy

expansion of the effective refractive index, $n(\lambda) = n_0 + \frac{n_2}{\lambda^2} + \frac{n_4}{\lambda^4}$

1/11

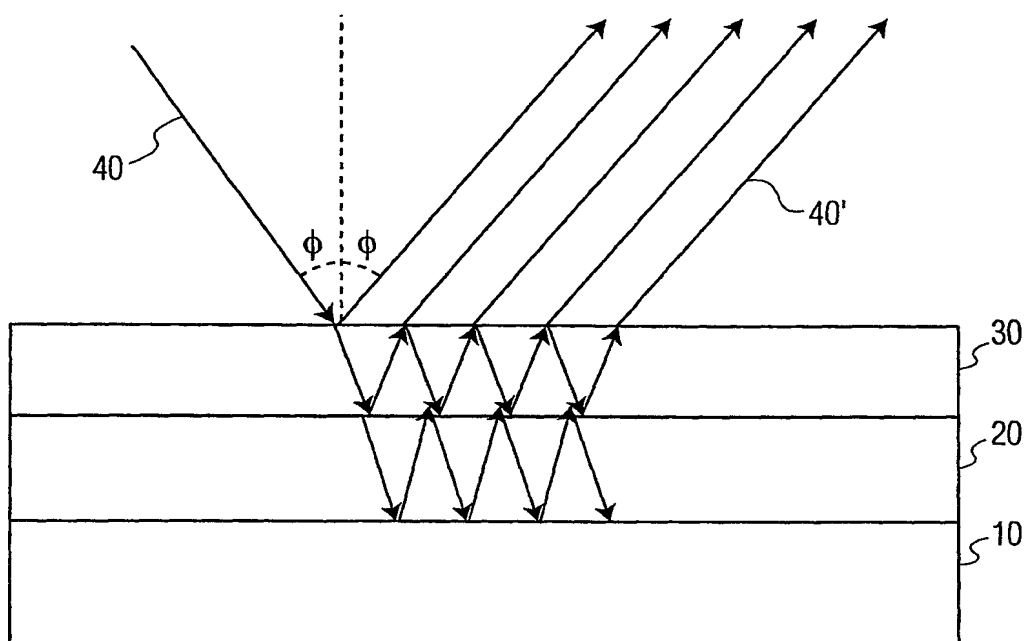


FIG. 1A

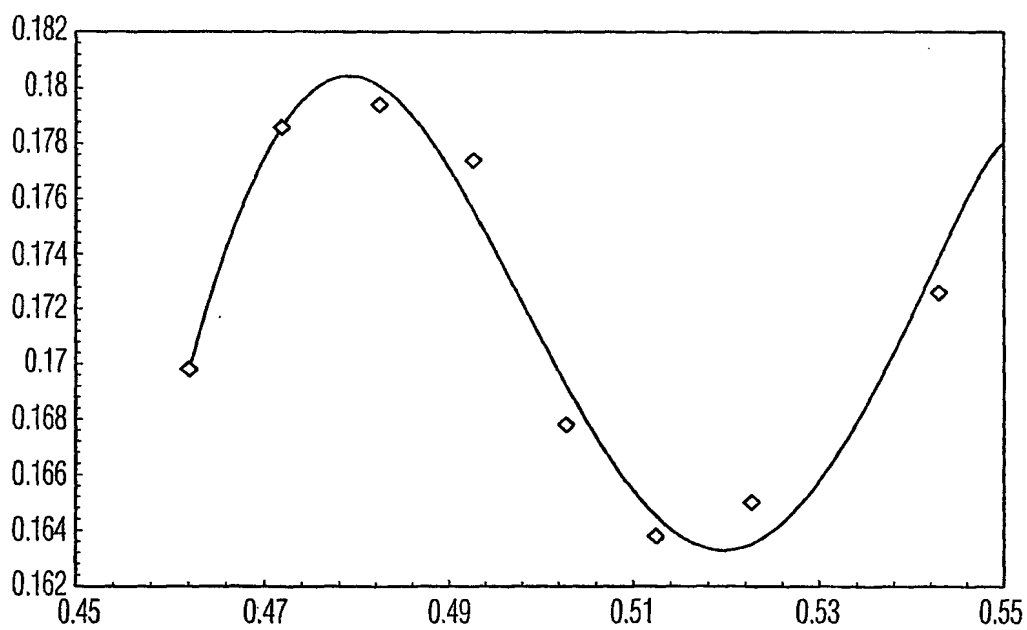


FIG. 1B

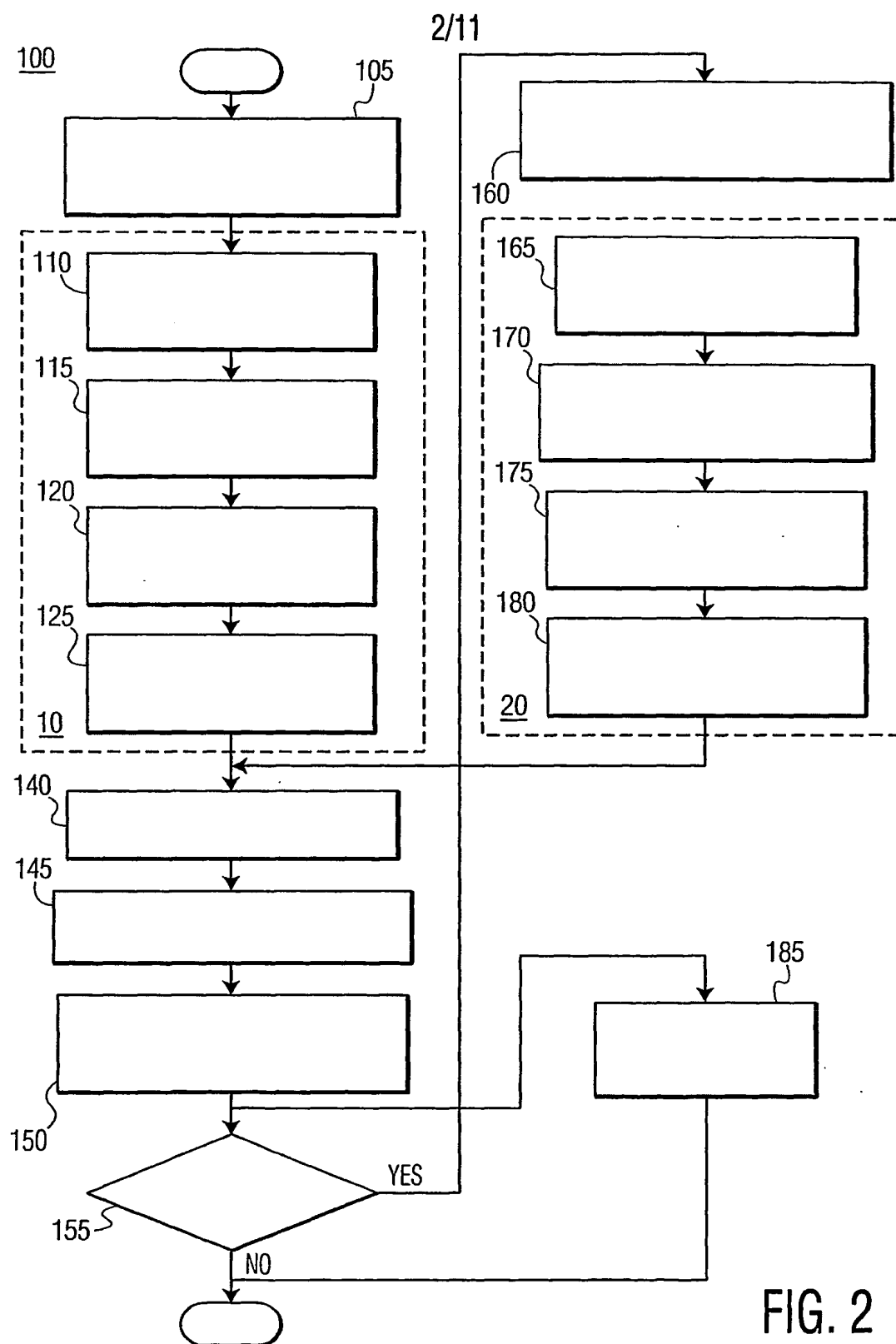


FIG. 2

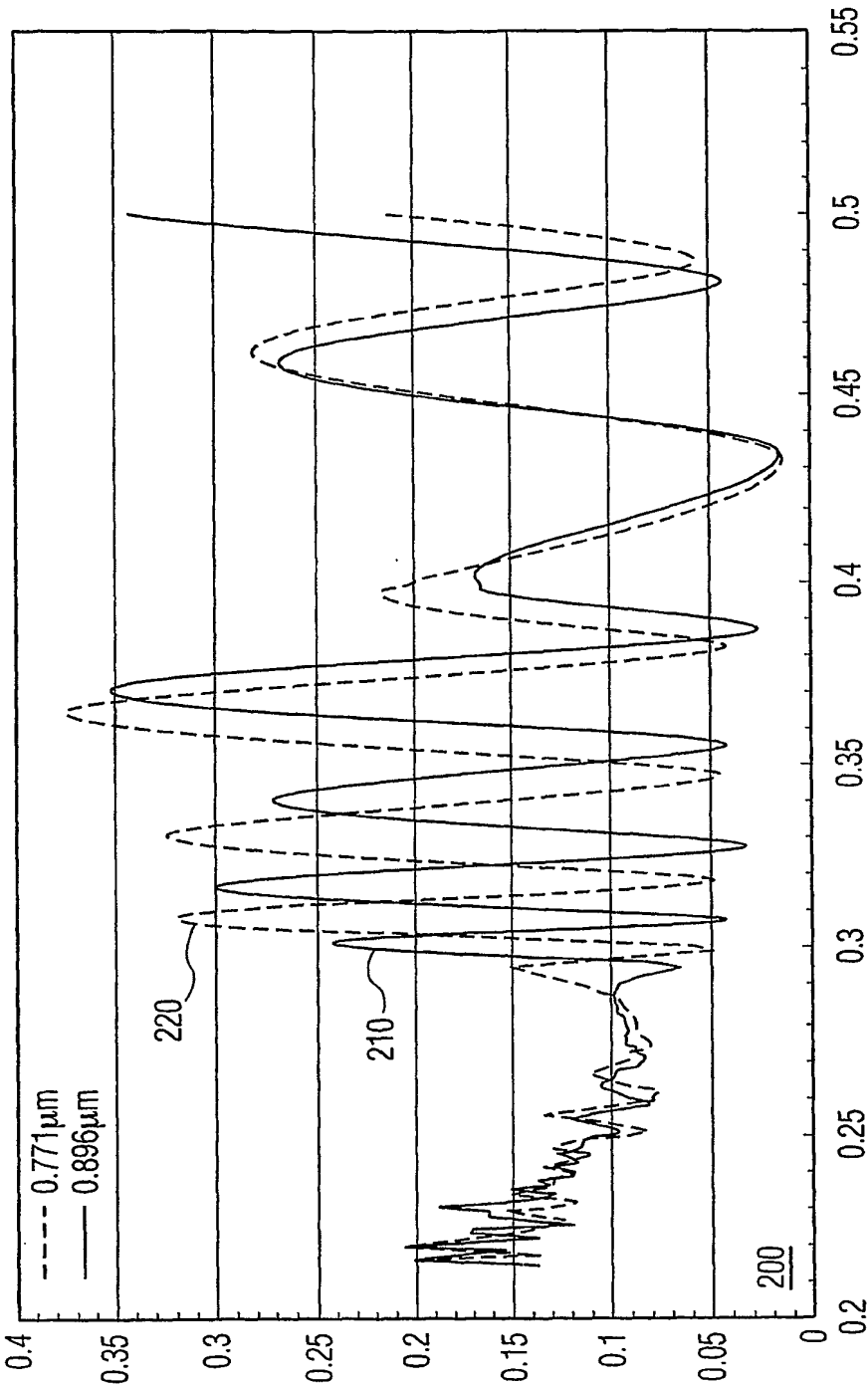


FIG. 3

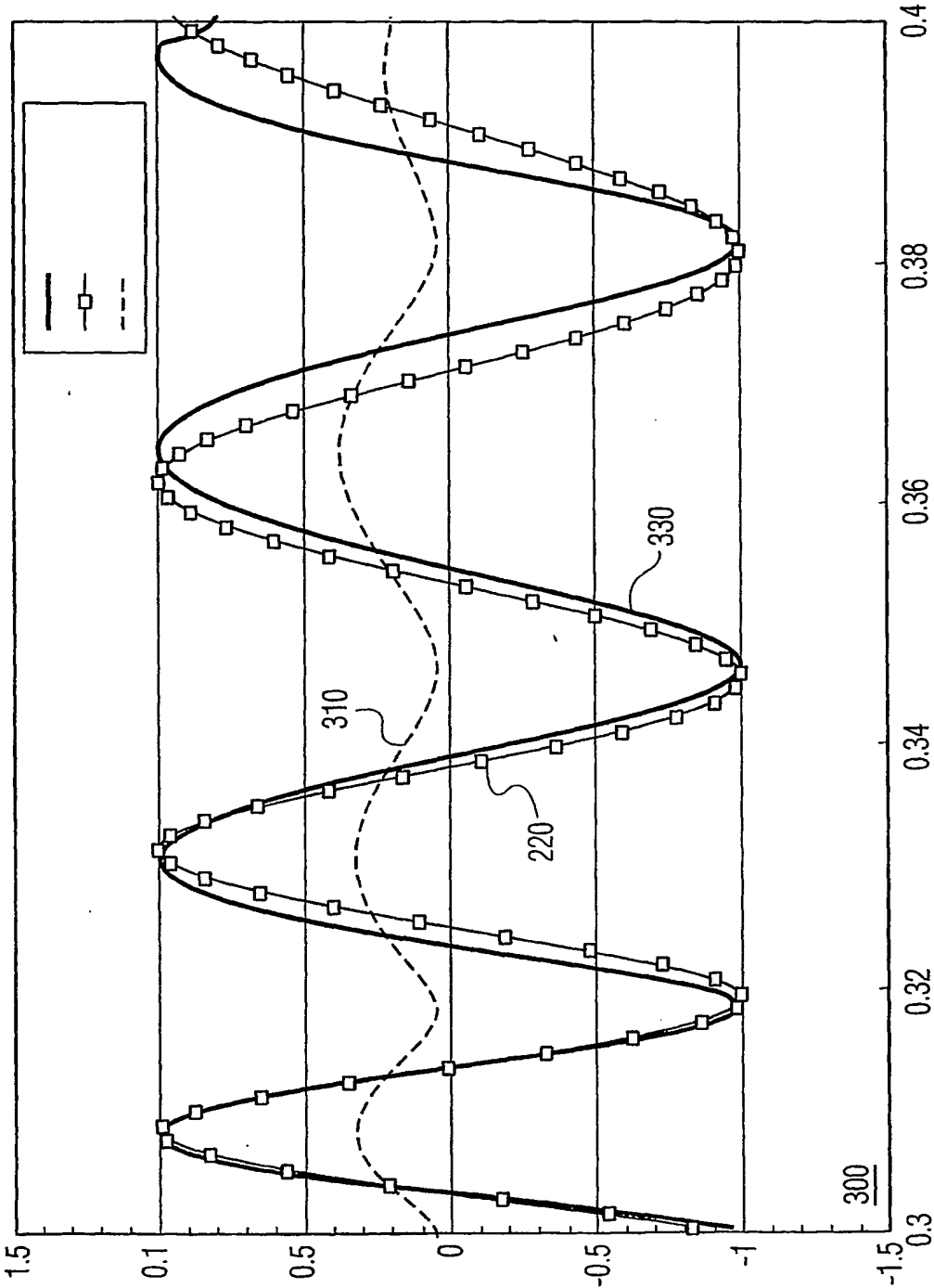


FIG. 4

5/11

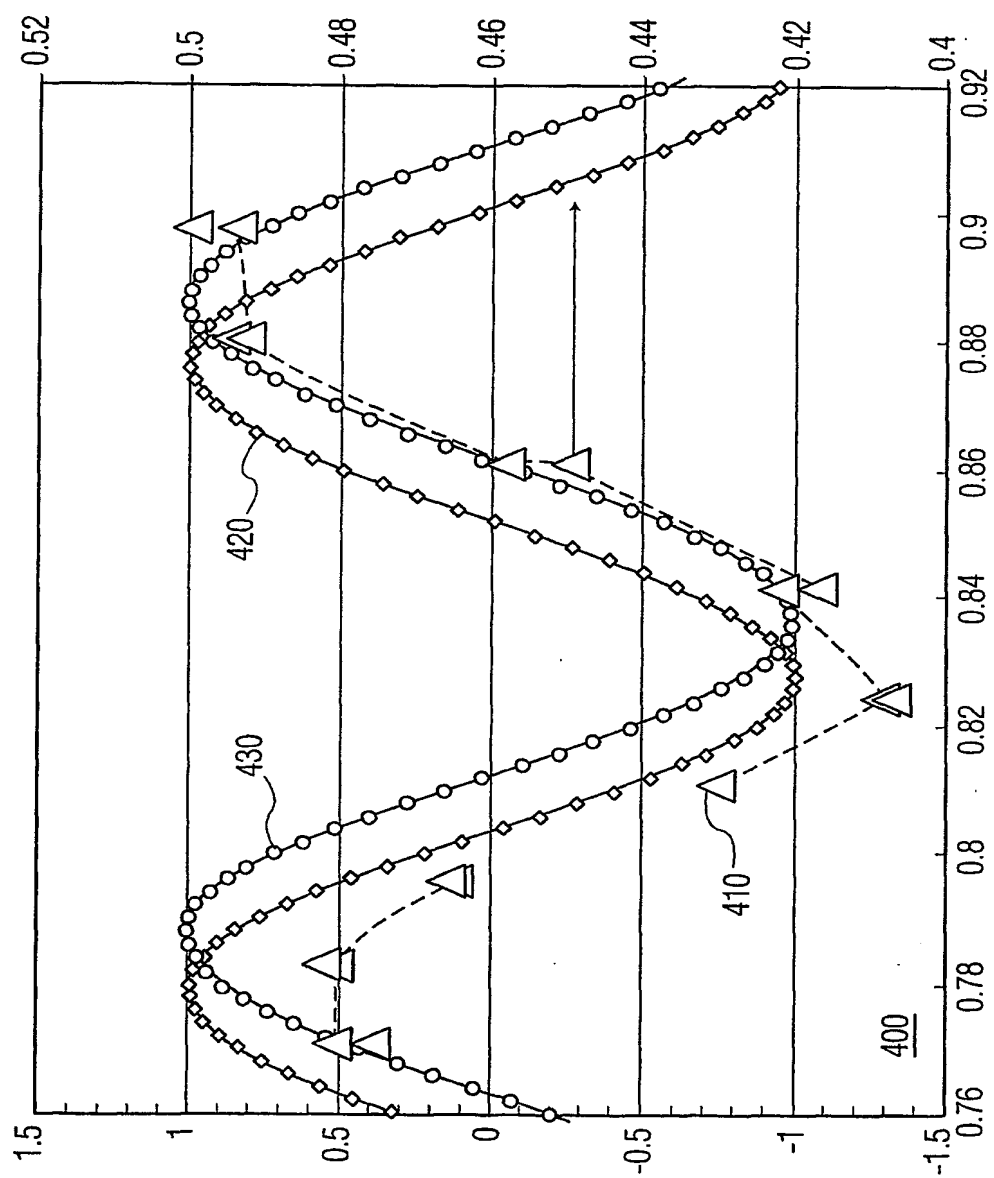


FIG. 5

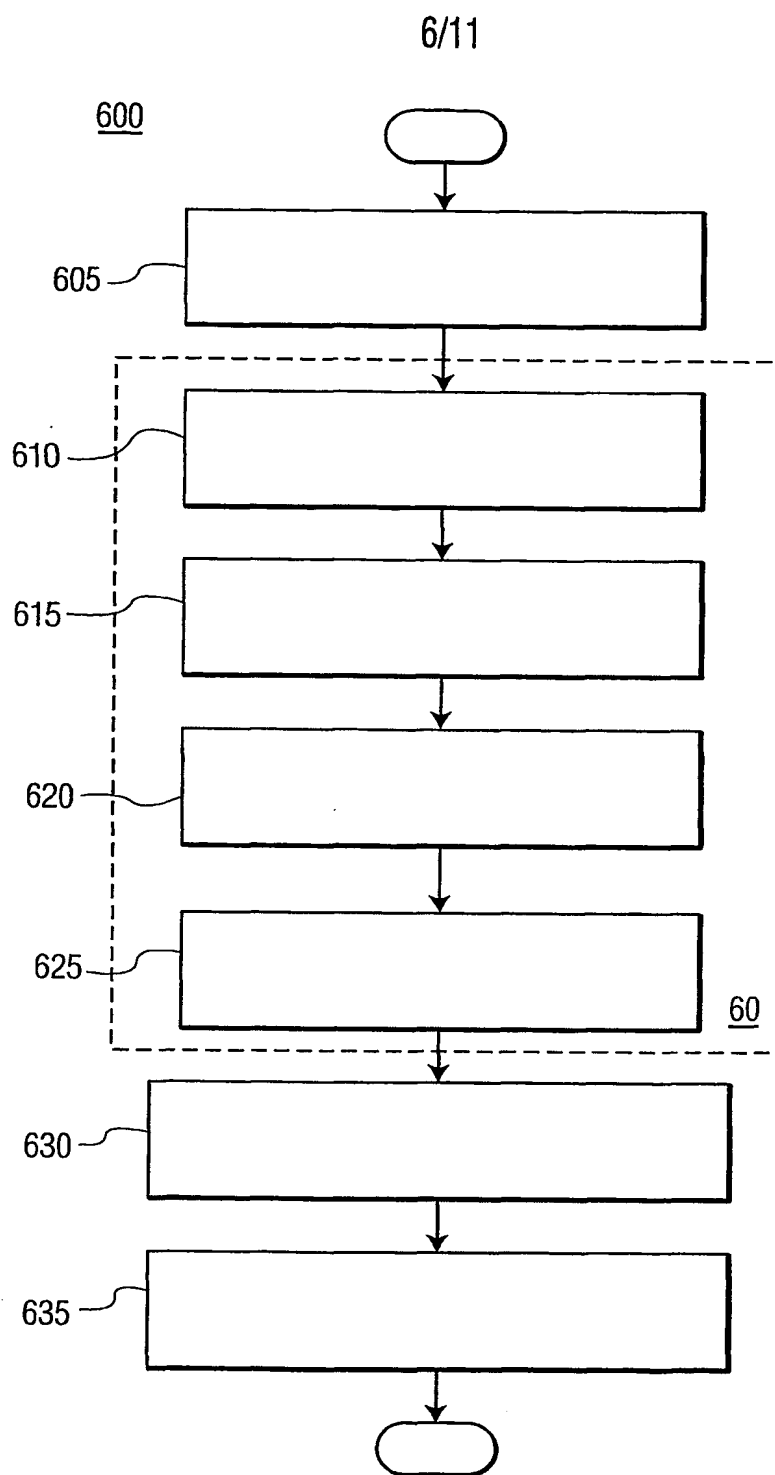


FIG. 6

7/11

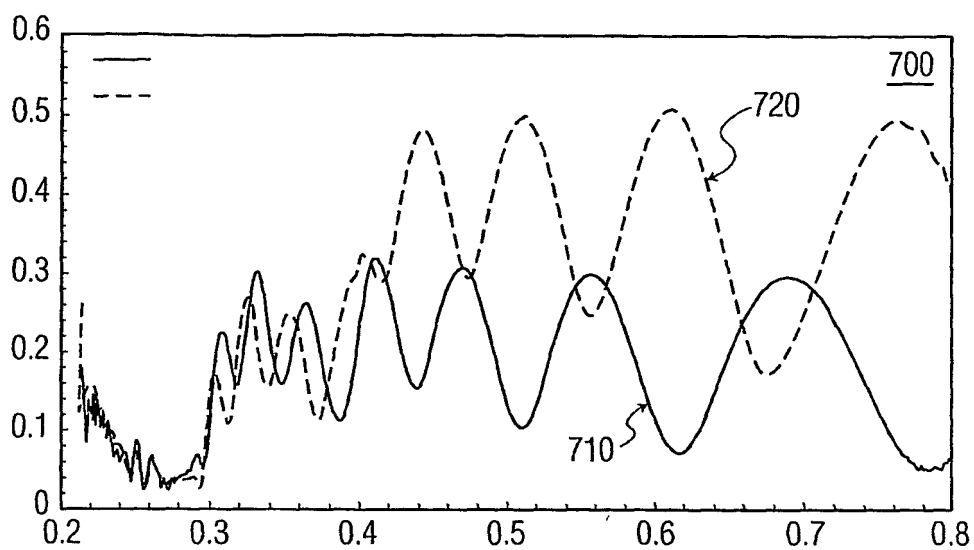


FIG. 7

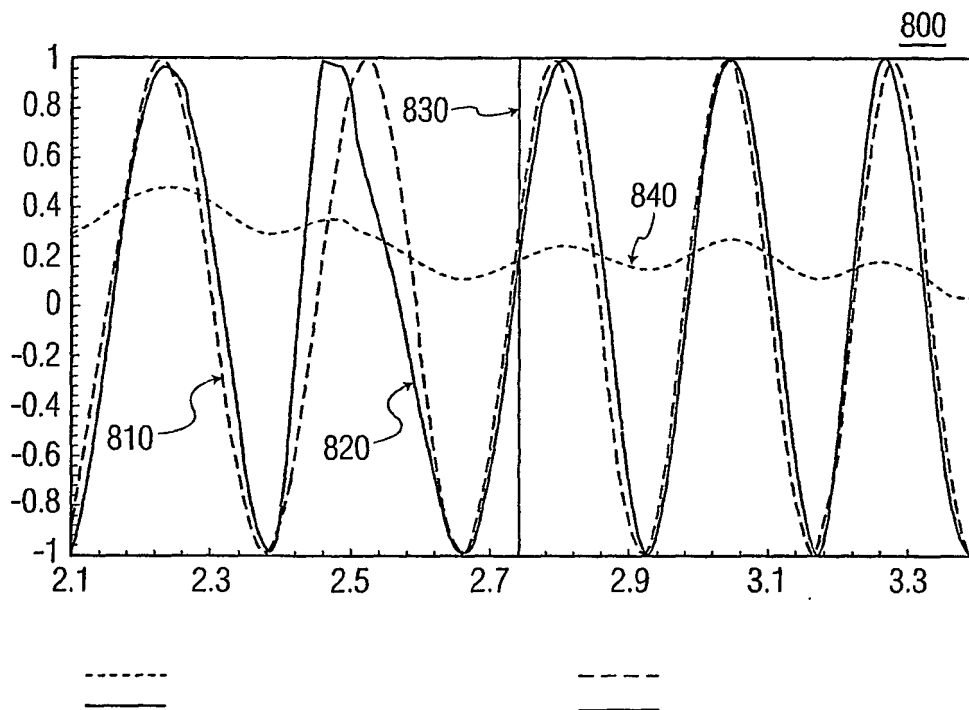


FIG. 8

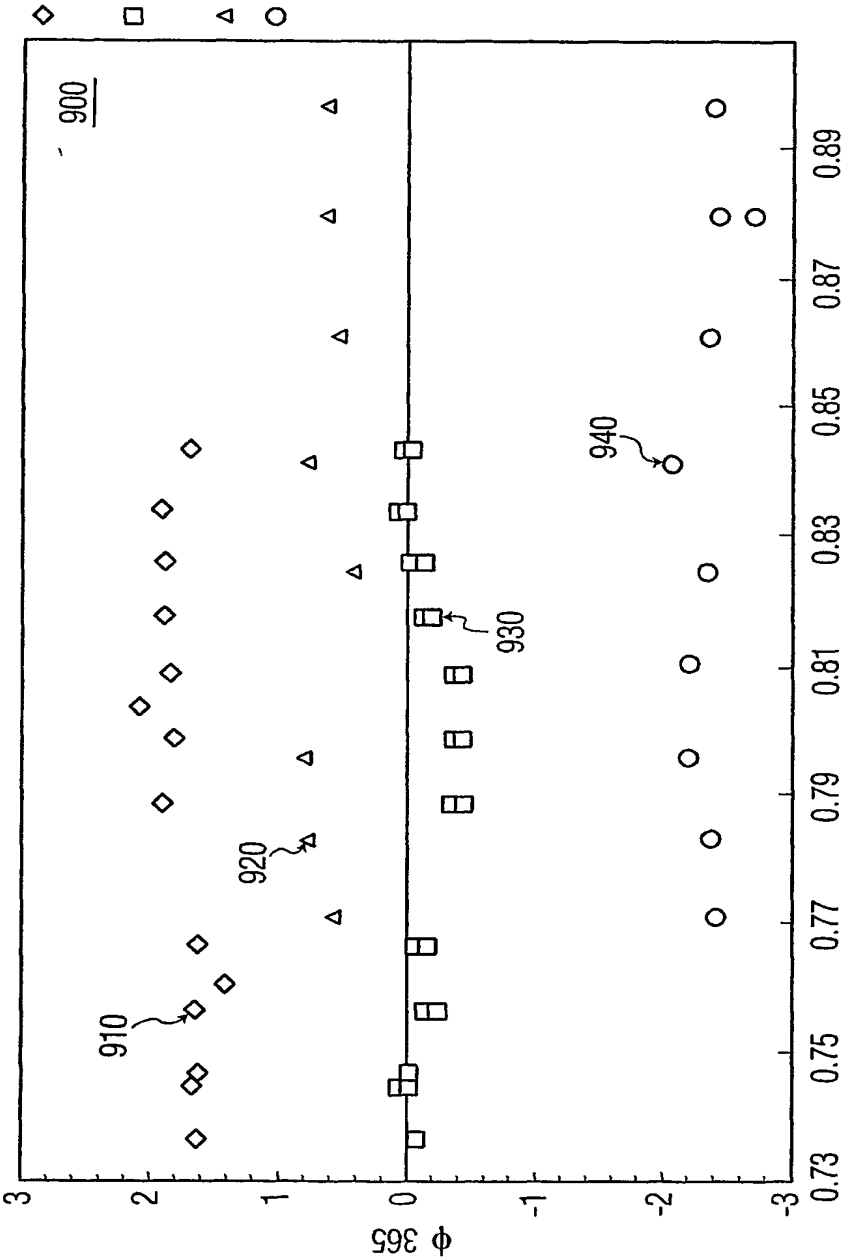


FIG. 9

9/11

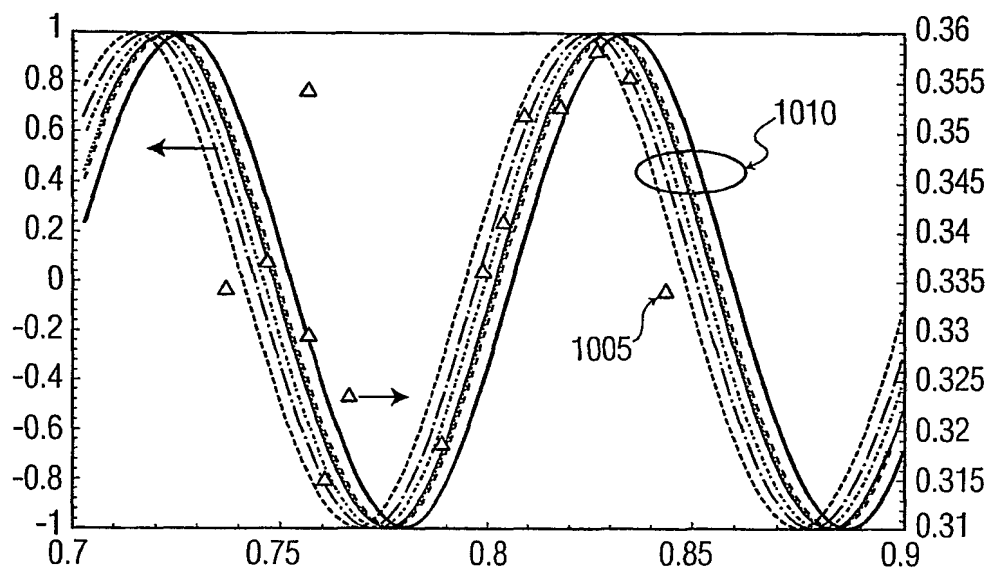


FIG. 10A

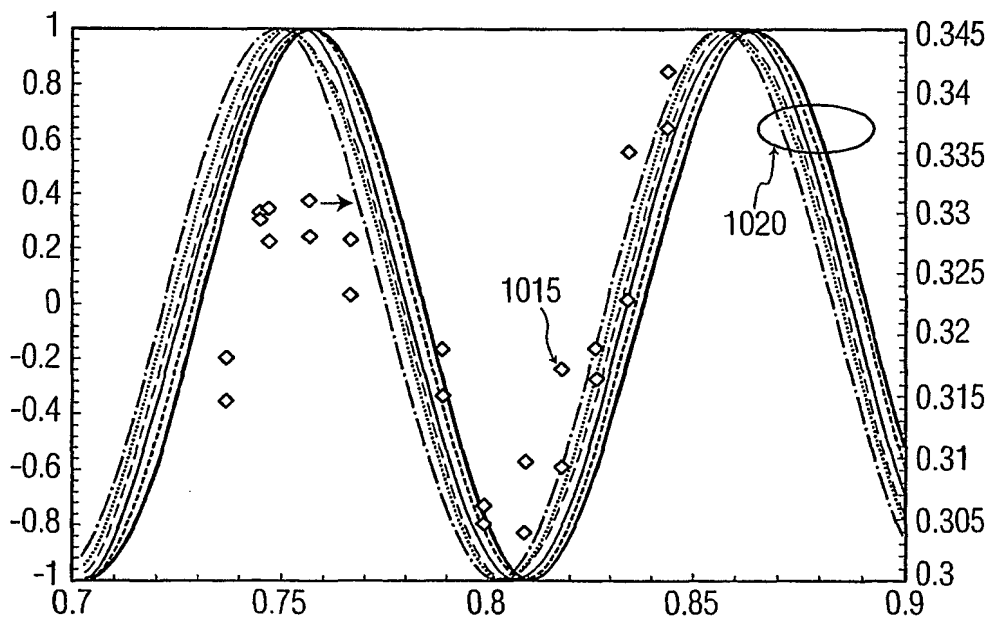


FIG. 10B

10/11

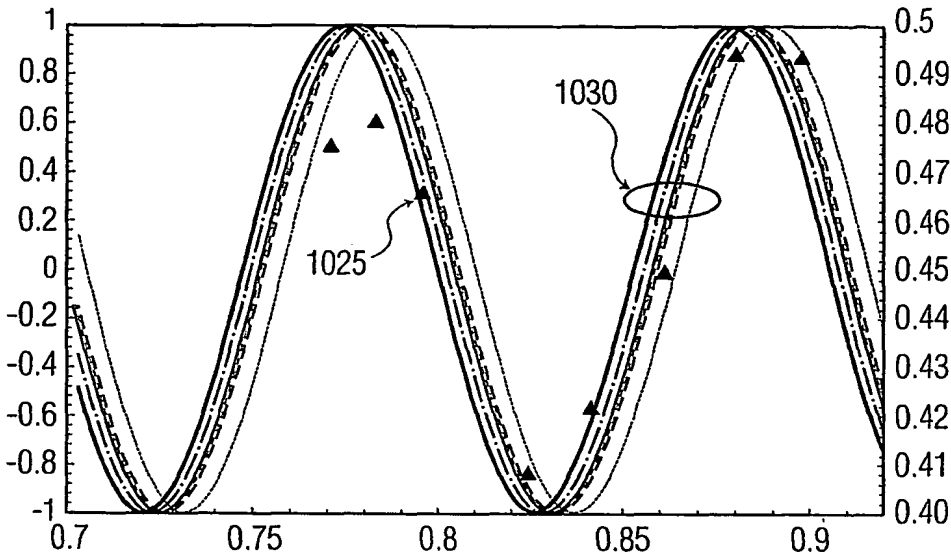


FIG. 10C

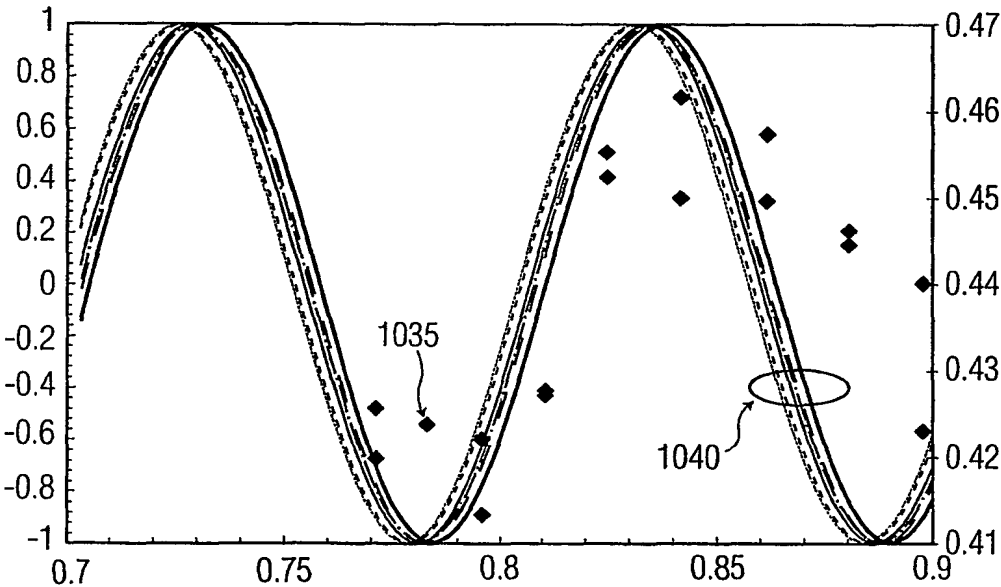


FIG. 10D

11/11

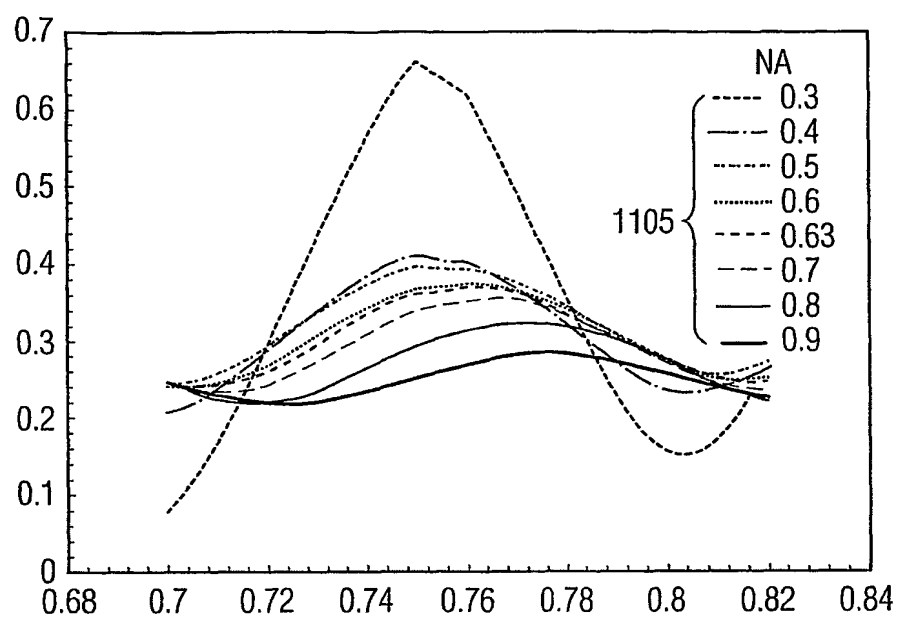


FIG. 11

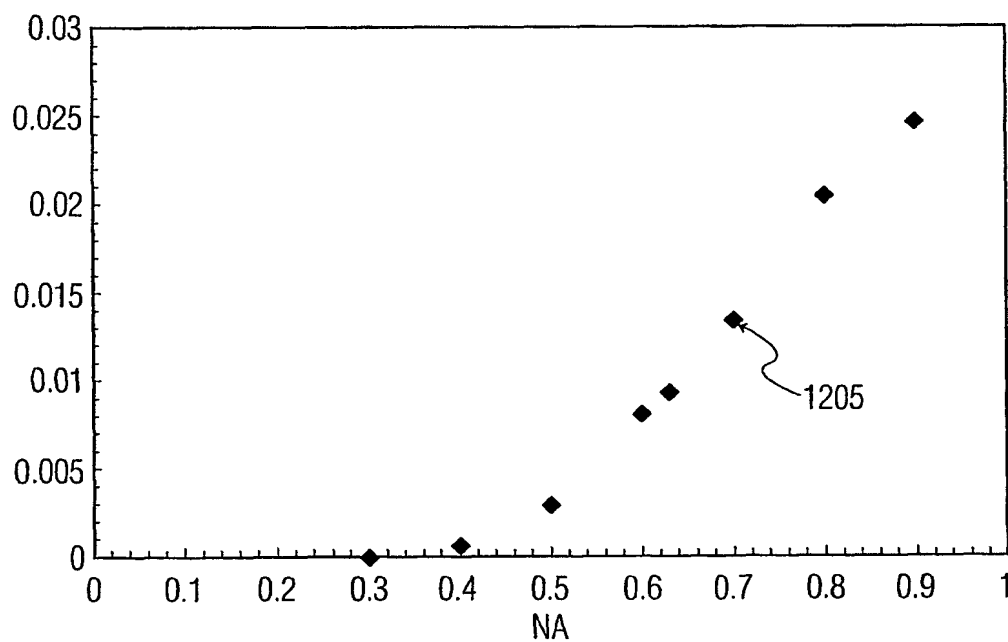


FIG. 12

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
17 February 2005 (17.02.2005)

PCT

(10) International Publication Number
WO 2005/015312 A3

(51) International Patent Classification⁷: **G03F 7/16**,
7/26, 7/16

[US/US]; 1109 McKay Drive, M/S-SJ41, San Jose, CA
95131-1706 (US).

(21) International Application Number:
PCT/IB2004/002546

(74) Common Representative: **KONINKLIJKE PHILIPS
ELECTRONICS N.V.**; c/o Shannon Lester, 1109 McKay
Drive, M/S-SJ41, San Jose, CA 95131-1706 (US).

(22) International Filing Date: 7 August 2004 (07.08.2004)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
60/493,698 8 August 2003 (08.08.2003) US
60/512,717 20 October 2003 (20.10.2003) US

(71) Applicant (for all designated States except US): **KONIN-
KLIJKE PHILIPS ELECTRONICS N.V.** [NL/NL];
Groenewoudseweg 1, NL-5621 BA Eindhoven (NL).

(72) Inventor; and

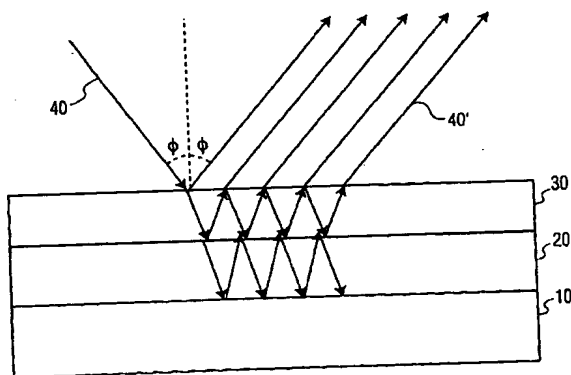
(75) Inventor/Applicant (for US only): **ZIGER, David**

(81) Designated States (unless otherwise indicated, for every
kind of national protection available): AE, AG, AL, AM,
AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN,
CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI,
GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE,
KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD,
MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG,
PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM,
TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM,
ZW.

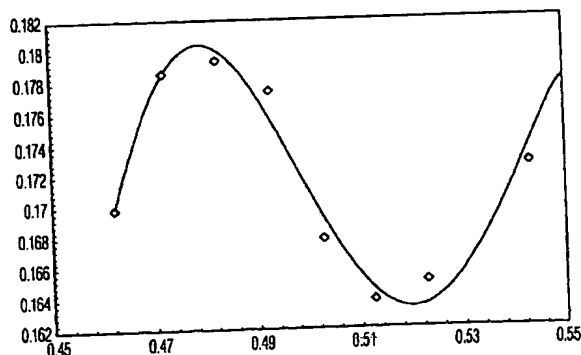
(84) Designated States (unless otherwise indicated, for every
kind of regional protection available): ARIPO (BW, GH,
GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM,
ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),

[Continued on next page]

(54) Title: METHOD FOR DETERMINING OPTIMAL RESIST THICKNESS



A



B

(57) Abstract: In an example embodiment, there is a method (600) for determining an approximately optimal resist thickness comprising providing a first substrate coated with a resist film having a first thickness using a first coat program (605, 610). The first thickness of resist is measured (615, 620). A second substrate is provided (625) and coated with a resist film using the first coat program. The resist film on the second substrate is exposed to radiation. The reflectance spectrum near the actinic wavelength of the resist film is measured (630). As a function of the periodicity of the reflectance spectrum, an effective refractive index is determined. Based on the effective refractive index, a periodicity of a swing curve of the resist film coated on the second substrate is determined (635). The maxima and minima are determined as a function of the periodicity.

THIS PAGE BLANK (USPTO)



European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)

Declaration under Rule 4.17:

— as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii)) for the following designations AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW, ARIPO patent (BW, GH, GM, KE, LS, MW, MZ, NA,

Published:

— with international search report

(88) Date of publication of the international search report:
23 March 2006

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

THIS PAGE BLANK (USPTO)

INTERNATIONAL SEARCH REPORT

PCT/IB2004/002546

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 G03F7/16 G03F7/26 G03F7/16

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 G03F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EPO-Internal, INSPEC

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 916 717 A (YANG ET AL) 29 June 1999 (1999-06-29) column 4, lines 7-65 column 5, lines 9-31; claim 1	1, 9, 14, 20
A	US 6 252 670 B1 (SHENG HAN-MING ET AL) 26 June 2001 (2001-06-26) column 2, line 36 - column 3, line 17 column 4, lines 34-54 column 5, lines 9-22	1, 9, 14, 20

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *G* document member of the same patent family

Date of the actual completion of the international search

11 August 2005

Date of mailing of the international search report

24/08/2005

Name and mailing address of the ISA
European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax (+31-70) 340-3016

Authorized officer

Müller-Kirsch, L

THIS PAGE BLANK (CONT)

INTERNATIONAL SEARCH REPORT

PCT/IB2004/002546

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
---	---------------------	----------------------------	---------------------

US 5916717	A	29-06-1999	NONE
------------	---	------------	------

US 6252670	B1	26-06-2001	NONE
------------	----	------------	------

THIS PAGE BLANK (USPTO)